

JC10 Rec'd PCT/PTO 07 DEC 2001

FORM PTO-1390
(REV. 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ST99019 US PCT

U.S. APPLICATION NO.

107018273

INTERNATIONAL APPLICATION NO.

PCT/FR00/01594

INTERNATIONAL FILING DATE

8 June 2000

PRIORITY DATE CLAIMED

France 99/07,449 (11.06.1999)

TITLE OF INVENTION

RECOMBINANT ADENOVIRUSES ENCODING THE SPECIFIC IODINE TRANSPORTER (NIS)

APPLICANT(S) FOR DO/EO/US

PERRICAUDET, Michel; SCHLUMBERGER, Martin; YEH, Patrice; BOLAND-AUGE, Anne

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)), and Power of Attorney (unexecuted).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. Below concern other document(s) or information included:
11. ☐ An information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A FIRST preliminary amendment.
 14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☐ Other items or information

CERTIFICATION UNDER 37 CFR 1.10

"Express Mail" Mailing Number EV 047264355 US

Date of Deposit 12/7/01

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated Above and is addressed to: *Rev. PCT Commissioner for Patents*, Washington, DC 20231, Attn. EO/US

William C. Coppola *William C. Coppola*
(Type or print name of person mailing paper)

William C. Coppola 12/7/01
(Signature of person mailing paper)

U.S. APPLICATION NO.
(If Known, see C.F.R. 1.5)

INTERNATIONAL APPLICATION NO.

PCT/FR00/01594

ATTORNEY'S DOCKET NUMBER

ST99019 US PCT

10/018273

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17.

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The following fees are omitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):
Search Report has been prepared by the EPO or JPO.....\$ 860.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
.....\$ 720.00No international preliminary examination fee paid to USPTO
(37 CFR 1.482)

but international search fee paid to USPTO (37 CFR 1.445(a)(2))..\$ 790.00

Neither international preliminary examination fee (37 CFR 1.482) nor
International search fee (37 CFR 1.445(a)(2)) paid USPTO.....\$1070.00International preliminary examination fee paid to USPTO (37 CFR 1.482) and
all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 98.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims	36 - 20 =	16	X \$ 18.00	\$ 288.00	
Independent Claims	5 - 3 =	2	X \$ 80.00	\$ 160.00	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1308.00	

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later the [] 20 [] 30
months from the earliest claimed priority date (37 CFR 1.492(f)). + \$ 0.00**TOTAL NATIONAL FEE =**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 0.00**TOTAL FEES ENCLOSED =**

Amount to be refunded	\$
\$1308.00 charged	\$

- a. ☐ A check in the amount of \$ _____ to cover the above fee is enclosed.
- b. ☒ Please charge my Deposit Account No. 18-1982 in the amount of \$1308.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 18-1982. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 005487

Signature

Name: William K. Coppola

Registration Number: 41,686

Date: December 7, 2001